

OCT 19 2006

## STEVENS &amp; SHOWALTER, L.L.P.

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## FACSIMILE TRANSMISSION

TO:	Name:	Yicun Wu, Art Unit 2165	
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	Fax No.:	571/273-8300	Phone No.:
FROM:	Name:	Thomas E. Lees, Reg. No. 46,867	
	Date:	October 19, 2006	
	Our. Ref.:	Serial No.10/051,558; Docket RSW920010101US1	Trans. No.:
# Pages (Incl. cover): 25			

## REMARKS:

OFFICIAL

AMENDMENT AFTER FINAL and  
FEE TRANSMITTAL (2 copies for charge to deposit account)

Please deliver to Examiner Wu

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OCT 19 2006

PTO/SB/17 (01-06)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 450

**Complete if Known**

Application Number	10/051,558
Filing Date	01/17/2002
First Named Inventor	John R. Hind
Examiner Name	Yicun Wu
Art Unit	2165
Attorney Docket No.	RSW920010101US1

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 090461 Deposit Account Name: IBM Corporation

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**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
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Multiple dependent claims

360	180
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Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
64	- 20 or HP = 9	x 50 =	450

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 or HP = 0	x	

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
	0

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$125 fee (no small entity discount)

Other (e.g., late filing surcharge):

Fees Paid (\$)

**SUBMITTED BY**

Signature

Registration No. 46,867  
(Attorney/Agent)

Telephone 937/438-6848

Name (Print/Type) Thomas E. Leep

Date October 19, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 19 2006

Attorney Docket RSW920010101US1  
Serial No. 10/051,558

OFFICIAL AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hind et al.  
Serial No. : 10/051,558  
Filed : January 17, 2002  
Title : SYSTEM AND METHOD FOR MANAGING AND  
SECURING META DATA  
Attorney Docket : RSW920010101US1  
Examiner : Y. Wu  
Art Unit : 2165  
Confirm : 9629

CERTIFICATE OF FACSIMILE TRANSMISSION

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Thomas E. Lees

46.867  
Reg. No.

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AFTER FINAL**

This paper, submitted in accordance with 37 C.F.R. §1.116, is responsive to an Office Action mailed July 25, 2006. Reconsideration and reexamination are respectfully requested in light of the amendments and remarks below.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Statement of the Substance of the Interview** begins on page 14 of this paper.

**Remarks/Arguments** begin on page 14 of this paper.

10/20/2006 MBINAS 00000053 090461 10051558  
01 FC:1202 450.00 DA